



IFFCO-TOKIO GENERAL INSURANCE CO. LTD
Regd. Office: IFFCO Sadan, C-1, Distt. Centre, Saket, New Delhi-110017

SECURITIES ISSUER'S LIABILITY POLICY

PROPOSAL FORM
UIN: IRDAN106CP0001V01202324

Our Liability does not commence until the proposal has been accepted and the premium paid.

Signing this proposal does not bind the proposer to complete this insurance. Please note, if any subsidiaries of the Proposer have different responses than the Proposer, a separate proposal form needs to be filled out.

Proposer Details

1. Name of Proposer:
2. Company Website/ URL:
3. Address of Head Office and Country of Registration:
4. Date of incorporation of the Company
5. Business activities/ services of the Proposer:
6. Revenue of the Company
 - i. Annual Revenue in Previous Financial Year:
 - ii. Revenue for the Current Financial Year (Till date):
7. Number of Listed Subsidiaries:

S.No	Name of Subsidiary Company

8. Limit of Liability (Any one Event and in the Aggregate):
 Any One Event _____
 Aggregate Limit _____

SHAREHOLDING INFORMATION

1. Please provide the details of the stock exchanges where the Proposer is listed below:

Sr. No.	Name of Proposer	Stock exchanges where Proposer is Listed (for e.g. NSE or BSE or both)	Date of Listing	No of Shares (as on date)	Amount Raised	Face value per share
	XXX	Example – NSE	2022	150	INR 1000 Cr	

2. Types of Securities?
 3. Please state the following in terms of Shareholding pattern of the Proposer:
 a. Details of Shares held by Management (Directors/ Officers, etc.):

Sr. No.	Shareholder Name	Number of Shares Held	Capital held	% Held
1	Example - ABC Proposer	XXXX	XXXX	__%

- b. All shareholdings greater than 15% of the ordinary share capital:

Sr. No.	Shareholder Name	Number of Shares Held	Capital held	% Held
1	Example - ABC Proposer	XXXX	XXXX	__%

4. Does the Proposer have a written Standard Operating Procedure (SOP) with respect to processing investor service requests such as issuing duplicate securities certificate, claim from unclaimed suspense account, renewal/exchange of securities certificate, transmission, transposition etc.
- a. Yes
 b. No

5. Does the Proposer have a duly authorized stakeholders' relationship committee of the board of directors for handling matter related to investor services?
 - a. Yes
 - b. No

6. Is the work related to share registry in terms of both physical and electronic being maintained at a single point by which SEBI registered RTA/ STA?
 - a. Yes
 - b. No

7. Are there guidelines in effect with respect to procedures to ensure due diligence RTA/ STA before appointment?
 - a. Yes
 - b. No

8. Does the proposer insist that RTA/ STA's maintain their own professional Indemnity cover?
 - a. Yes
 - b. No

9. Does the RTA/ STA insist for the Proposer to maintain a hold-harmless agreement or a Waiver of Subrogation clause as a contractual obligation?
 - a. Yes
 - b. No

10. How many number of years of experience does the RTA/ STA have?

11. Provide detailed information of physical and dematerialized equity holding (as on _____, as per Latest SHP filed with Stock Exchanges):

Particulars	Physical	Demat
No. of Shares		
% of Shares		

CLAIMS HISTORY

12. Is the proposer aware of any complaint brought by a shareholder with respect to service requests? Please provide details of the last 5 years, use attachment if necessary.

13. Has any claim or circumstances of loss been brought against the Proposer or any of its directors, officers, partners, trustees or employees during the last 5 years? If yes, please provide more details in a separate sheet (if required) about the claim including the claimant, current status of the claim, amounts paid and insurer reserve amounts.
14. Has the SEBI levied Fines on the Proposer in respect to investor's service requests.

OTHER IMPORTANT INFORMATION

15. PAN Card Number
16. GSTIN Number

DECLARATION

The Proposer declare that statements and particulars in this Proposal Form are true and no material facts have been misstated or suppressed after full enquiry. The Proposer agrees that this Proposal, together with any other information supplied shall form the basis of the contract of Insurance affected thereon. The Proposer undertakes to inform Insurers of any material alteration to those facts occurring before the completions of the contract of Insurance. A material fact is one which would influence the acceptance or assessment of the risk.

Authorised Signatory

Company Stamp

Name and Position in the Company

Date

Place

I/We desire to effect an insurance in terms of the public liability policy of the company against the limits of indemnity specified above. I/We hereby declare that all statutory provisions relating to my/our business proposed for insurance are complied with. I/We further declare that the above statements and particulars are true and I/We have not omitted, suppressed, misrepresented or misstated any material fact and I/We agree that this declaration shall be the basis of the contract between me/us and the company, and be incorporated therein.

PLACE :

SIGNATURE OF THE PROPOSER

DATE :

SECTION 41 OF INSURANCE ACT 1938 PROHIBITION OF REBATES

Payment of rebates is expressly prohibited under Section 41 of the Insurance Act, 1938.

1. No person shall allow or offer to allow either directly or indirectly as an inducement to any person to take out or renew or continue an insurance in respect of any kind or risk relating to lives or property in India any rebate of the whole or part of the commission payable or any rebate of the premium shown on the policy nor shall any person taking out or continuing a policy accept any rebate except such rebate as may be allowed in accordance with the prospectus or tables of the Insurer.
2. Any person making default in complying with the provisions of this Section shall be punishable with fine, which may extend to ten lakh